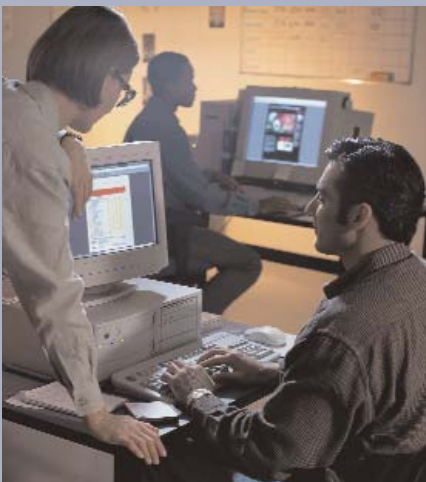


The Wackenhut Corporation can assist your business in taking all the right initiatives to assure the survival, growth and resilience of your corporation.



Our Business Continuity Services include:

- **Strategic Readiness Assessment**
- **Business Continuity Planning**
 - Training, Drills, and Exercises
 - Commitment Identification and Tracking



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NYSE 446 & NASD 3500

According to the NYSE 446 and NASD 3500, members organizations will be required to develop, maintain, review, and update business continuity (BC) and contingency plans that establish procedures to be followed in the event of an emergency or significant business disruption.¹ This rule was passed to address the large impact of disruptions (such as the terrorist attacks of 9/11 and the Northeast Blackout, among others) on the financial industry and the need for better business continuity planning (BCP) in this sector.

Members and member organizations will be required to make such plans available on request, and will be required to conduct a yearly review of their BC plans to determine whether any modifications are necessary in light of changes to the member's or member organization's operations, structure, business or location. Member organizations also will have to designate a senior officer designated to approve and annually review the plans and an emergency contact person or persons and pass the identities of these officers to the exchange.²

The rule requires BC plans to address the following:

- Books and records backup and recovery (hard copy and electronic)
- Identification of all mission-critical systems and backup for such systems
- Financial and operational risk assessments
- Alternate communications between customers and the firm
- Alternate communications between the firm and its employees
- Alternate physical location of employees
- Business constituent, bank and counter-party impact regulatory reporting communications with regulators.³

This rule will have a major impact on the business of continuity planning and in most cases the role of corporate security directors and function owners.

¹ NYSE Member Firm Regulation Issue 8, June 2003, www.nyse.com

² Continuity Insights, January February 2004, volume 2, pp5

³ Securities and Exchange commission website: www.sec.gov



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